Monthly statements of liquid capital with the Commission and the securities exchange HABIB METROPOLITAN FINANCIAL SERVICES LIMITED Computation of Liquid Capital As on 30.11.2022

S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1. Assets		2.002.512	2,902,513	
1.1	Property & Equipment Intangible Assets	2,902,513 757,569	757,569	-
1.3	Investment in Govt. Securities	97,515,719	737,303	97,515,719
	Investment in Debt. Securities	0.,020,.20		21/222/122
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.4	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	· ·			
	 If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. (Provided that if any of these securities are pledged with the 			
1.5	securities exchange for base minimum capital requirenment, 100% haircut on the value of eligible securities	15,628,792	15,628,792	-
	to the extent of minimum required value of Base minimum capital			
	ii. If unlisted, 100% of carrying value.			
1.6	Investment in subsidiaries			
	Investment in associated companies/undertaking i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
1.7	whichever is higher.			
	ii. If unlisted, 100% of net value.			
	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository			
1.8	or any other entity. (i) 100% of net value,	20,000,000	20,000,000	-
	however any excess amount of cash deposited with securities exchange to comply with requirenments of			
	base minimum capital may be taken in the calculation of LC			
1.9	Margin deposits with exchange and clearing house.	59,900,000	-	59,900,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	-	-	-
1.11	Other deposits and prepayments	686,973	686,973	-
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)	2,716,981	-	2,716,981
1.12	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables.			
1.13	Amounts receivable against Repo financing.			
1.14	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall			
	not be included in the investments.)			
	Advances and receivables other than trade Receiveables; (i) No haircut may			
1.15	be applied on the short term loan to employees provided these loans are secured and due for repayments within 12 months. (ii) No haircut may be applied to the advance tax to the			
1.13	extent it is netted with provision of taxation .	36,171,357	1,543,881	34,627,476
	(iii) In all other cases 100% of net value			
	(iii) iii ali otilei cases 100% of flet value			
	Receivables from clearing house or securities exchange(s)			
1.16	100% value of claims other than those on account of entitlements against trading of securities in all markets	100,578	100,578	
	including MtM gains.		100,578	-
	Receivables from customers	19,467	0	19,467
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked			
	account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value			
	of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral			
	upon entering into contract,			
	iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
	iv. Balance sheet value	24,166,805	-	24,166,805
1.17	IV. Bulunce sneet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of			
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash			40 505 400
		18,384,491	12,785,420	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash	18,384,491	12,785,420	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral	18,384,491	12,785,420	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments	18,384,491	12,785,420	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. In the case of amount of receivables from related parties, values determined after applying applicable	18,384,491	12,785,420	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the	18,384,491	12,785,420	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying			12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying	18,384,491 77,341	12,785,420 77,341	12,785,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts whichever is higher. (c)			12,/85,420
	securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. v. Lower of net balance sheet value or value determined through adjustments vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying			12,785,420

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Canal Communication	S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1.16 Bank Balance proprietory accounts 79,439,243 79,439,241 Bank Balance customers accounts 6,515,756 6 - 5,1575,61 Bank Balance customers 6,515,756 6 - 5,1575,756 6 - 5,1	1. Assets				
Bank balance-curroner accounts 0,3157,261 0,3157,261 0,5157,26					
Subcription money against investment in IPO/ offer for sale (asset) 25,000 25,000 25,000 10,	1.18			-	
Subscription money against investment in PrO, offer for sale (asset) (i)No harrout may be applied in respect of amount pad as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. (ii) Provided that shares have not been alloted or the value of south social securities broker. (iii) Provided that shares have the same share on the value of south social social states of subscription in right shares where the shares have not be the end responsible of the shares have not been respected in CDS account, 15% or VAR based hairout whichever is nightly with a papilled on Right Shares. 1.20 Total Assets 1.21 Target Payables 1.21 Pragable to exchanges and clearing house 1.22 Pragable to exchange and clearing house 2.23 Editables 1.24 Pragable to exchange and clearing house 2.26 Current Library of the same shares the provided products 1.27 Pragable to exchange and clearing house 2.26 Current Library of the same shares the products 1.27 Editables 2.27 Editables 2.28 Editables and other payables 3.28 Editables and other payables 4.28 Editables and other payables 5.28 Editables and other payables 5.28 Editables and other payables 6.28 Editables 1.28 Editables and other payables 6.28 Editables 1.29 Editables 2.20 Editables 2.20 Editables 2.20 Editables 2.21 Editables 2.22 Editables 2.23 Editables and other payables 3.24 Editables as per accounting principles and included in the financial statements 3.25 Editables and the payables and included in the financial statements 3.26 Editables and the payables and included in the financial statements 3.26 Editables and the payables and included in the financial statements 3.27 Editables 2.28 Editables and the payables and included in the financial statements 3.29 Editables and the payables and included in the financial statements 3.30 Editables and the payables and included in the financial statements 3.40 Editables and the payables and payables and included in the financial statemen				-	
(I)No haircut may be applied in respect of amount paid as subscription money provided that shares have not be alloted or are not included in the investments of Securities broker. 119 In case of missensing the other shares have been alloted but not yet redited in CD5 Account, 25% hardware the balance have not be subset of such securities. (iii) In case of subscription in right shares where the share have not perfect yet been credited in CD5 Account, 15% or VAN based harrout whichever is allowed. (iii) In case of subscription in right shares. 1.20 Intelligence the shares have not on right shares. 2.1 Intelligence the shares have not redit in CD5 Account, 15% or VAN based harrout whichever is allowed in the shares have not redit in CD5 Account, 15% or VAN based harrout whichever is allowed harrout			25,000	-	25,000
been alloted or are not included in the investments in Or securities broker. (ii) (ii) in case of subscription in right shares where the shares have not yet been credited in CDS account, 25% in plant of the politication of the value of such securities. (iii) in case of subscription in right shares where the shares share not yet been credited in CDS account, 15% or VARb based haircut whichever is ingler, will be applied on Right Shares. 2. Labilities 2. Tarded Psyables 2. Payable to exchanges and cleaning house 3. A40,0002 3. Payable to exchanges and cleaning house 4. Applied to exchanges and cleaning house 4. Applied to exchanges and cleaning house 5. A400,0002 4. Shares and a shares and company to the company to the company of the com		Subscription money against investment in IPO/ oner for sale (asset)			
Tube Payables Tube Payables Received Pay	1.19	been alloted or are not included in the investments of securities broker. (ii) In case of Investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% haircuts will be applicable on the value of such securities. (iii) In case of subscription in right shares where the shares have not yet been credited in CDS account, 15% or VAR based haircut whichever is			
Tube Payables Tube Payables Received Pay	1.20	Total Assets	421.650.090		374.353.373
2.1 I. Fayable against leveraged market products			122,030,030		37-1,000,070
ii. Payable against levraged market products iii. Payable against levraged market products iii. Payable to customers iii. Payable to customers iii. Payable to customers iii. Static retirem borrowing iii. Short-tem borrower iii. Short-tem					
ii. Payable against leveraged market products iii. Payable to customers iii. Payable to customers 27,783,042 Current Liabilities 1. Statutous and other payables ii. Statutous and other payables iii. Short term borrowing iii. Short term portion of long term liabilities vii. Provision for tasation viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities as per accounting principles and included in the financial statements viii. Other liabilities and viii. Vii	2.1		8,440,002	-	8,440,002
Current Liabilities 2,762,478 - 2,762,	2.1		-	-	-
i. Starturory and regulatory dues			72,783,042	-	72,783,042
iii. Accruals and other payables iii. Short-term borrowings iii. Short-term borrowings iii. Short-term borrowings iv. Current portion of long term labilities vi. Deferred Liabilities vi. Deferred					
ii. Short-term borrowings iii. Short-term borrowings v. Current portion of long term liabilities v. Current portion of long term liabilities vi. Derert dubilities viii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements viiii. Other liabilities as per accounting principles and included in the financial statements ii. Long-Term financing ii. Other liabilities as per accounting principles and included in the financial statements iii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial miniturion including amount due against finance leases. (b) Nill in all other cases Subordinated Loans 2.4 ii. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: 2.5 ii. Note: (a) 100% haircut of the subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: 2.6 ii. Long-Term financing deviance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against share capital allows the proposed enhanced share capital 2.5. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. 2.6. Itotal Liabilities 7. Total Liabilities 7. Total Liabilities Relating to: 8. Concentration in Margin Financing 8. Concentration in Margin Financing 8. The amount calculated client-to-client basis by which any amount receivable from any of the finances 8. Total Liabilities Relating to: 8. Concentration in Margin Financing 1. The amount calculated dient-to-client basis by which any amount receivable from more financing does not exceed 8.5 million) 8. Note: Only amount deposited by the borrower with NC					
1. Lourent portion of long permi abilities 1.42 1.4.142 1.			6,834,981	-	6,834,981
V. Current portion of long term liabilities vi. Deferred Liabilities vi. Deferred Liabilities vii. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities li. One; Term financing li. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities li. Other liabilities as per accounting principles and included in the financial statements lii. Staff retirement benefits liii.					
vi. Deferred Liabilities vii. Oroisoin for tastation viii. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities Long-Term financing	2.2				
vii. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases Note: (a) 100% haircut may be allowed against finance leases. (b) Nill in all other cases Note: (a) 100% of Subordinated Loans Note: (a) 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Note: (a) 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Note: (a) 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Note: (a) 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Note: (a) 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Note: (a) 100% haircut may be allowed in respect of advance against shares if: Note: (a) 100% haircut may be allowed in respect of advance against shares if: Note: (a) 100% haircut may be allowed in respect of advance against shares if: Note: (a) 100% haircut may be allowed in respect of advance against shares if: Note: (a) 100% haircut may be allowed in respect of advance against shares if: Note: (a) 100% haircut may be allowed in respect of advance against shares if: Note: (a) 100% haircut may be allowed against haircut may be allowed in respect of advance against haircut may be allowed in respect of advance against hair pagin pagin advance against haircut may be allowed ag			11 412		11 412
viii. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities				-	
Non-Current Liabilities Long-Term financing Li. Other liabilities as per accounting principles and included in the financial statements iii. Other liabilities as per accounting principles and included in the financial statements iii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases Subordinated Loans 2.4 l. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilities 3.7 Total Liabilities The amount or advance and intent-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of intent-to- client basis by which any amount receivable against margin financing does not exceed its 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Amount deposited by the borrower with NCCPL (iii) Amount depo		VII. PTOVISION FOI CAXACTON	2,344,370	_	2,344,376
Long-Term financing		viii. Other liabilities as per accounting principles and included in the financial statements			
ii. Other liabilities as per accounting principles and included in the financial statements iiii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases Subordinated Loans 2.4 ii. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares fir: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.5 Total Liabilities 3. Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed 8.5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Amount deposited by the borrower with NCCPL (iii) Amount deposited by the borrower with NCCPL (iv) Amount deposit		Non-Current Liabilities			
ii. Other liabilities as per accounting principles and included in the financial statements iiii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases Subordinated Loans 2.4 ii. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares fir: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.5 Total Liabilities 3. Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed 8.5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Amount deposited by the borrower with NCCPL (iii) Amount deposited by the borrower with NCCPL (iv) Amount deposit		i. Long-Term financing			
2.3 iii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases 2.4 i. 100% of Subordinated Loans Advance against shares for increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. C. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.5 Total Liabilities 7.6 Total Liabilities 7.7 Total Liabilities 7.8 Total Liabilities 8.9 3,376,291 7.8 Total Liabilities 9.9 3,376,291 9.9 3,376					
Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases Subordinated Loans 2.4	2.3				
Subordinated Loans		Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial			
2.4 i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilites Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 10bilities)					
Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilities Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed RS 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)					
of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 10% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)	2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:			
b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilites 3. Ranking Liabilities The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL 3.2 (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)		of advance against shares if:			
c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilities 3. Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)					
d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital. 2.6 Total Liabilities 3.7 Total Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed RS 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)	2.5				
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The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)	J. Nailki				
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(i) Amount deposited by the borrower with NCCPL 3.2 (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)		The amount by which the aggregate of:			
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)					
borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)	3.2				
110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)					
- '		(/			
Net underwriting Commitments		110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)			
		Net underwriting Commitments			

Schedule III [see regulation 6(4)]

Monthly statements of liquid capital with the Commission and the securities exchange HABIB METROPOLITAN FINANCIAL SERVICES LIMITED Computation of Liquid Capital As on 30.11.2022

S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1. Assets		r ak napees	Adjustificitis	Value
3.3	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitment			
	(b) in any other case: 12.5% of the net underwriting commitments			
	Negative equity of subsidiary			
3.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
	Foreign exchange agreements and foreign currency positions			
3.5	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
	Repo adjustment			
3.7	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
	Concentrated proprietary positions			
3.8	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
	Opening Positions in futures and options			
3.9	 In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts 			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
	Short selll positions			
3.10	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
	Total Ranking Liabilites	-	0	-
Calculati	ons Summary of Liquid Capital			

(i) Adjusted value of Assets (serial number 1.20) (ii) Less: Adjusted value of liabilities (serial number 2.6) (iii) Less: Total ranking liabilities (series number 3.11)

Total Liquid Capital

374,353,373 (93,376,291)

280,977,082

Note: Commission may issue guidelines and clarifications in respect of the treatment of any component of Liquid Capital including any modification, deletion and inclusion in the calculation of Adjusted value of assets and liabilities to address any practical difficulty.